

16562 U.S. PTO  
07/14/03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket No. B-3947DIV 621039-4

Anticipated Classification  
of this application

Class \_\_\_\_\_ Subclass \_\_\_\_\_

Prior application: 09/882,900

Examiner: Lalrinfamkim Hmar  
Malsawma

Art Unit: 2825

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

EV257330089US

**CONTINUATION OR DIVISIONAL FILING UNDER 37 C.F.R. § 1.53(b)**

This is a request for filing a

☐ Continuation ☒ Divisional

application under 37 C.F.R. § 1.53(b), of pending prior U.S.

Application Serial No. 09/882,900 filed on June 15, 2001, of

(1) James P. Baukus, (2) Lap-Wai Chow, and (3) William M. Clark,  
Jr. for "PROGRAMMABLE CONNECTOR/ISOLATOR AND DOUBLE POLYSILICON  
LAYER CMOS PROCESS WITH BURIED CONTACT USING THE SAME".

**1. Prior Application**

☒ I hereby verify that the attached papers are a true copy  
of what is shown in my records to be the above-  
identified prior application (USSN 09/882,900),  
including 9 pages of specification, 5 pages of claims,  
the oath or declaration (4 pages), and 4 sheets of  
drawings (Figs. 1-7) as originally filed. The attached  
true copy constitutes the above-identified divisional  
application (please also see 8 for drawing  
requirements.)

**NOTE:** Under 37 C.F.R. § 1.53(b) practice, signing and execution of the application by the  
applicant may be omitted provided the application is a continuation or divisional which  
adds neither new matter nor new inventors to the parent application, and a copy of the  
original declaration or oath from the parent application is supplied with the new  
application - 37 C.F.R. § 1.63(d)(1)

**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this paper and the documents referred to as attached therein are  
being deposited with the United States Postal Service on this date JULY 14, 2003 in an  
envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing  
Label Number EV257330089US addressed to the

"Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450"

Ericca Long

Ericca (Type or print name of person mailing paper)

(Signature of person mailing paper)

**NOTE:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed  
thereon prior to mailing - 37 C.F.R. § 1.10(b).

2. Amendments

☐ Cancel in this application original claims \_\_\_ of the prior application before calculating the filing fee.

☒ A preliminary amendment is enclosed.

NOTE: Only amendments reducing the number of claims will be entered before calculating the final fee.

3. Fee Calculation

CLAIMS FOR FEE CALCULATION

| Number Filed                           | Number Extra | Rate          | Basic Fee<br>\$750.00 |
|--|--------------|---------------|-----------------------|
| Total<br>Claims                        | 6 -20=       | 0 x \$ 18.00  | 0                     |
| Independent<br>Claims                  | 3 -3=        | 0 x \$ 84.00  | 0                     |
| Multiple dependent<br>Claim(s), if any |              | 0 x \$ 280.00 | 0                     |

☐ The fee for extra claims is not being paid at this time.

NOTE: If the fees for extra claims are not paid on filing they must be paid or the claims canceled by amendments, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 C.F.R. § 1.16(d).

Filing fee calculation \$ 750.00

4. Small Entity Status

☐ a verified statement that this is a filing by a small entity is attached.

☐ a verified statement has previously been filed in the parent application (37 C.F.R. § 1.28 (a)).

Filing fee calculation (50% of above) \$ \_\_\_\_

NOTE: If a verified statement is filed within two (2) months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

5. Fee Payment Being Made At This Time

Not enclosed

☐ no filing fee is submitted. This and the surcharge required by 37 C.F.R. § 1.16(e) can be paid subsequently.

Enclosed

☒ filing fee \$ 750.00

6. Method of Payment of Fees

☒ enclosed is a check in the amount of \$ 750.00

☐ charge account number 12-0415 in the amount of \$

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid - 37 C.F.R. § 1.22(b)

7. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 12-0415:

☒ 37 C.F.R. § 1.16 (filing fees and presentation of extra claims)

☒ 37 C.F.R. § 1.17 (application processing fees)

☐ 37 C.F.R. § 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b)).

NOTE: 37 C.F.R. § 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application...prior to paying...issue fee."

8. Drawings

☐ Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this request is enclosed for filing in the prior application file. (May only be used if signed by (1) the applicant, (2) the assignee of record or (3) the attorney or agent of record authorized by 37 C.F.R. § 1.138 and before payment of issue fee.)

NOTE: DO NOT check this box if prior case is not to be abandoned.

☐ Transfer the following sheet(s) of drawings from the prior application to this application \_\_\_\_\_

NOTE: Transferring sheets must be cancelled in prior application 37 C.F.R. § 1.88

☒ Drawings are enclosed (see true copy of prior application USSN 09/882,900)

9. Priority - 35 U.S.C. 119

☐ Priority of application Serial No. filed on \_\_\_\_\_ in \_\_\_\_\_, is claimed under 35 U.S.C. 119.

☐ The certified copy was filed on \_\_\_\_\_

10. Relate Back - 35 U.S.C. 120

The Preliminary Amendment presents an amendment to the specification to relate this divisional application to its parent.

11. Assignment

☒ The prior application is assigned of record to  
(1) HRL Laboratories, LLC

☒ Attached is the following number of Assignments: 1  
and respective **new** Cover Sheets. (Do **NOT** file old cover sheets.)

12. Power of Attorney

CUSTOMER NO.: 36716

- a. ☒ The power appears in the original papers in the prior application
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☐ A copy of the executed Supplemental Declaration/Power is enclosed herewith.
- d. ☒ Address all future communications to:

Richard P. Berg, Esq.  
 c/o LADAS & PARRY  
 5670 Wilshire Boulevard, Suite 2100  
 Los Angeles, California 90036-5679

- e. ☐ An Associate Power of Attorney has been executed and is attached.

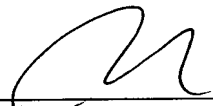
(May only be completed by applicant, or attorney or agent of record).

13. Maintenance of Copendency of Prior Application

- ☐ A petition, fee and response has been filed to extend the term in the pending prior application \_\_\_\_\_ until \_\_\_\_\_.

14. An Information Disclosure Statement is enclosed herewith.

July 14, 2003  
 Date

  
 Signature  
 Richard P. Berg  
 Registration No. 28,145

Address of Signatory

c/o LADAS & PARRY  
 5670 Wilshire Boulevard  
 Suite 2100  
 Los Angeles, Ca 90036-5679  
 Telephone No. (323) 934-2300  
 Telefax No. (323) 934-0202

- ☐ Inventor(s)
- ☐ Assignee of complete interest
- ☒ Attorney or agent of record
- ☐ Filed under Rule 34(a)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REGARDING THE U.S. PATENT APPLICATION OF:

- (1) James P. Baukus
- (2) Lap-Wai Chow
- (3) William M. Clark, Jr.

ENTITLED: "PROGRAMMABLE CONNECTOR/ISOLATOR AND DOUBLE POLYSILICON LAYER CMOS PROCESS WITH BURIED CONTACT USING THE SAME"

New U.S. Divisional patent application based on USSN 09/882,900

Our Ref: B-3947DIV 621039-4

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number **EV257330089US**

Date of Deposit: July 14, 2003

I hereby certify that the following attached papers or fee:

- postcard
- check for \$750.00 to cover official filing fee
- Transmittal letter with Certification under 37 CFR 1.10 (4 pages)
- Preliminary amendment (12 pages), with Appendix A (1 page)
- True copy of parent application U.S. Patent Appln. No. 09/882,900 consisting of: 9 pages of specification, 5 pages of claims, the oath or declaration (4 pages), and 4 sheets of drawings (Figs. 1-7)
- 4 sheets of formal drawings (Figs. 1-4), with cover sheet (1 page)
- Information Disclosure Statement with Form PTO-1449 (modified) (8 pages)
- Assignment document (3 pages) with Cover Sheet (1 page), and check for \$40.00 to cover Assignment recordal fee

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Karyn Lao

(Typed or printed name of person mailing paper or fee)

  
(Signature)